# **NATIONAL PHASE** UTILITY APPLICATION

Transmittal to the (DO/EO/US) **Under 35 USC 371** 

Attorney Docket No.: SHIGA5.004APC

First Named Inventor: Hiroaki Tsutsumi

Int'l Application No.: PCT/JP2003/07350 40/55980 1

Int'l Filing Date: June 10, 2003 Priority Date Claimed: None

Title: SYNTHETIC RESIN CAP, CLOSING DEVICE, AND

CONTAINER FOR BEVERAGE Express Mail Label No.: EV718232980US

Direct all correspondence to Customer No.: 20995

Date: December 8, 2005

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### Mail Stop PCT

United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

#### Inventors:

1. Hiroaki Tsutsumi 2. Mitsuharu Harada

3. Masataka Hisano

#### **APPLICATION ELEMENTS:**

- This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. (X)
- A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated (X) by the International Bureau.
  - (X) PCT/IB/308 enclosed.
- An English translation of the International Application as filed is attached hereto. (X)
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. (X) § 371(c)(3)) have not been and will not be made.
- An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)). (X)

### **OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 3 pages.
- Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment). (X)
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 16 references.
  - Enclosing 14 references. (X)
- Cover page of PCT publication WO 2004/110888 AL. (X)
- (X) International Search Report.
- Written Opinion. (X)

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(X) Application Data Sheet in 3 pages.

(X) Return prepaid postcard.

### **FILING FEES:**

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION						
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION		TOTAL	
Basic Filing Fee under 1.492(a)		2631 (\$150)				\$150
Search Fee under 1.492(b) (enter one in TOTAL column)						
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2640 (\$0)				
(2) USPTO was the ISA (noncompliant claims)		2641 (\$50)				\$200
(3) Foreign Search Report Enclosed		2642 (\$200)				
(4) No Search Report Enclosed		2632 (\$250)	1			
Examination Fee under 1.492(c) (enter one in TOTAL column)						
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2643 (\$0)				\$100
(2) Non US IPEA or Noncompliant Claims		2633 (\$100)	1			
Excess Claims	5 - 20 = 0	2615 (\$25)	0	х	25 =	\$0
Excess Ind. Claims	3 - 3 = 0	2614 (\$100)	0	х	100 =	\$0
Multiple Dep. Claim		2616 (\$180)	•			\$0
Application Size Fee	0 - 100 = 0	2681 (\$125)	0	х	125 =	\$0
Late English Translation		1618 (\$)				
Assignment		8021 (\$40)	1	х	40 =	\$40
TOTAL FEE DUE				•	\$490	
TOTAL FEE PAID (If Different Than Fee Due)						\$490

(X) A check in the amount of \$490 to cover the Total Fee Due is enclosed.

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Priority Date Claimed: None

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Page 3 of 3

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Mark R. Benedict

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### **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Attorney Docket No.

SHIGA5.004APC

Applicant(s)

Tsutsumi et al.

For

SYNTHETIC RESIN CAP,

RESIN CAP, CLOSING AND CONTAINER FOR

DEVICE, BEVERAGE

**Attorney** 

Mark R. Benedict

"Express Mail" Label No.:

EV718232980US

**Date of Deposit** 

December 8, 2005

I hereby certify that the accompanying

Transmittal letter; English translation of specification and drawings in 19 pages; Declaration and Power of Attorney in 2 pages; Preliminary Amendment in 3 pages; Recordation Form Cover Sheet and Assignment in 3 pages; cover page of PCT publication in 2 pages; International Search Report (PCT/ISA/220); Written Opinion (PCT/IPEA/408); Application Data Sheet in 3 pages; PCT/IB/308; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 14 references; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nelson Merida

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